September 2019

To Whom It May Concern:

It would facilitate proper crediting of the Donor's gift if the Office of Philanthropy were notified of the Donor's name, the type and number of shares to be transferred and what area or initiative the Donor wishes to support.

Please contact Jamie Risen, associate director of Gift Receiving, at (859) 257-4761, (800) 875-6272 or jamie.risen@uky.edu.

Thank you!

Sincerely,

UK Philanthropy

TRANSFER OF SECURITIES BY WIRE ENTRY

Depository Trust Company
The Northern Trust Company
Trust Department
Participant No./DTC: 2669
Reference: University of Kentucky – Cash-Stock-Gift Account #26-76609

TRANSFER OF SECURITIES IN CERTIFICATE FORM

All securities transferred to the university in certificate form must bear a guaranteed signature stamp of approval from a bank or brokerage firm and may be presented directly on the certificate or on a Stock Power transfer Form. This guarantee is accomplished with a rubber stamp that is placed below the Donor's signature with the words “Signature Guaranteed,” the name of the bank or brokerage firm and the signature of the officer signing on behalf of their institution. Please note: the Donor's signature must be witnessed by the bank or brokerage firm.

It is our goal to assist you in making this transfer in an efficient and secure manner. Please contact Jamie Risen in Gift Receiving for further information or delivery instructions.

“The sales of securities are authorized only by the University of Kentucky Controller/Treasurer.